



FEDERAL ELECTION COMMISSION
Washington DC 20463

THIS IS THE BEGINNING OF ADMINISTRATIVE FINE CASE # 2566

DATE SCANNED 12-21-12

SCANNER NO. 2

SCAN OPERATOR CTM

12092681627



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

2012 SEP 26 PM 5: 20

September 26, 2012

MEMORANDUM

SENSITIVE

TO: The Commission

THROUGH: *fu* Alec Palmer *JP*
Staff Director

FROM: Patricia C. Orrock *PCO*
Chief Compliance Officer

Debbie Chacona *DC*
Assistant Staff Director
Reports Analysis Division

BY: Jodi Winship *JW*
Compliance Branch

SUBJECT: Reason to Believe Recommendation -
Failure to File 48-Hour Notices under the Administrative Fine Program

Attached is the name of a principal campaign committee that has failed to file 48-hour notices with the Commission for contributions of \$1,000.00 or more received from the close of books for the North Carolina 12 Day Pre-Primary Report up to 48 hours before the May 8, 2012 Primary Election in accordance with 2 U.S.C. § 434(a) and 11 CFR. § 104.5(f). The committee, Tim D'Annunzio for Congress, represents a candidate who won the Primary Election. The committee is being referred for failing to file 48-hour notices for contributions totaling \$100,000.00.

A 48-hour notice is required to report all contributions of a \$1,000.00 or more, to any authorized committee of a candidate, including contributions from the candidate, loans from the candidate and other non-bank sources and endorsements or guarantees of loans from banks, as per 11 CFR § 104.5(f).

We have attached an information sheet which includes the contributor name, date of receipt and amount of the contributions for which a 48-hour notice was not filed.

In accordance with the schedule of civil money penalties outlined within 11 CFR § 111.44, this committee should be assessed the civil money penalty so indicated.

12092681628

Recommendation

1. Find reason to believe that Tim D'Annunzio for Congress and Tim Bruce D'Annunzio, Treasurer, violated 2 U.S.C. § 434(a) and make a preliminary determination that a civil money penalty of \$10,110.00 be assessed.
2. Send the appropriate letter.

Attachment

12092681629

Contributions for Which a 48-Hour Notice Was Not Received

AF: 2566

Committee ID: C00515213

Committee Name: Tim D'Annunzio for Congress

Report Type: July Quarterly Report (04/19/2012 – 06/30/2012)

48-Hour Reporting Period: 04/19/2012 – 05/05/2012

CONTRIBUTOR NAME	DATE	AMOUNT
D'ANNUNZIO, TIM BRUCE	4/24/2012	\$100,000.00
	TOTAL	\$100,000.00

Proposed Civil Money Penalty: \$10,110.00 ((1 Notice Not Filed at \$110 each) + (10% of the Overall Contributions Not Filed))

12092681630

12092681631

AF#	2566	Committee ID	C00515213	Committee Name	TIM D'ANNUNZIO FOR CONGRESS	State	NC	Election	2012	Candidate Name	D'ANNUNZIO, TIMOTHY BRUCE	Treasurer	D'ANNUNZIO, TIM BRUCE	Prev Violations	0	Notices Not Filed	1	LOA	\$100,000	Penalty	\$10,110
Federal Election Commission Reason to Believe Circulation Report 48-Hour Notification Report											9/26/2012 1:44 PM										

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Reason To Believe Recommendation -) AF 2566
Failure to File 48-Hour Notices under the)
Administrative Fine Program (Tim)
D'Annunzio for Congress and Tim Bruce)
D'Annunzio, Treasurer))

CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election Commission, do hereby certify that on September 28, 2012, the Commission decided by a vote of 6-0 to take the following actions in AF 2566:

1. Find reason to believe that Tim D'Annunzio for Congress and Tim Bruce D'Annunzio, Treasurer, violated 2 U.S.C. § 434(a) and make a preliminary determination that a civil money penalty of \$10,110.00 be assessed.
2. Send the appropriate letter.

Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Attest:

September 28, 2012
Date

Shawn Woodhead Werth
Shawn Woodhead Werth
Secretary and Clerk of the Commission

12092681632



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

October 1, 2012

Tim Bruce D'Annunzio, in official capacity as Treasurer
Tim D'Annunzio for Congress
925 Doc Brown Road
Raeford, NC 28376

C00515213
AF#: 2566

Dear Mr. D'Annunzio:

The Federal Election Campaign Act of 1971, as amended, 2 U.S.C. § 431, *et seq.* ("the Act"), requires principal campaign committees of candidates for federal office to notify in writing either the Secretary of the Senate or the Federal Election Commission ("FEC"), and the Secretary of State, as appropriate, of any contribution of \$1,000 or more, received by any authorized committee of the candidate after the 20th day, but more than 48 hours before, any election. 2 U.S.C. § 434(a)(6)(A). The Act further requires notification to be made within 48 hours after the receipt of the contribution and to include the name of the candidate and office sought, the date of receipt, the amount of the contribution, and the identification of the contributor. *Id.* These notification requirements are in addition to all other reporting requirements. 2 U.S.C. § 434(a). Our records indicate that Tim D'Annunzio for Congress did not submit 48-Hour Notices for contributions of \$1,000 or more, received on April 24, 2012, totaling \$100,000, as required by 2 U.S.C. § 434(a)(6)(A), Attachment 1.

The Act permits the FEC to impose civil money penalties for violations of the reporting requirements of 2 U.S.C. § 434(a). 2 U.S.C. § 437g(a)(4). On September 28, 2012, the FEC found that there is Reason to Believe ("RTB") that Tim D'Annunzio for Congress and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) by failing to file the 48-Hour Notices. Based on the FEC's schedule of civil money penalties at 11 CFR § 111.44, the amount of your civil money penalty calculated at the RTB stage is \$10,110. Please see the attached copy of the Commission's administrative fine regulations at 11 CFR §§ 111.30-111.55. Attachment 2. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. <http://www.fec.gov/af/af.shtml>. 11 CFR § 111.34. The amount of the civil money penalty is \$110 for each non-filed notice plus 10 percent of the dollar amount of the contributions not timely reported. The civil money penalty increases by 25 percent for each prior violation. Send your payment of \$10,110 within forty (40) days of the finding, or by November 7, 2012.

At this juncture, the following courses of action are available to you:

12092681633

1. If You Choose to Challenge the RTB Finding and/or Civil Money Penalty

If you should decide to challenge the RTB finding and/or calculated civil money penalty, you must submit a written response, including the AF# found at the top of page 1 under your committee's identification number, to the FEC's Office of Administrative Review, 999 E Street, NW, Washington, DC 20463. Your response must be received within forty (40) days of the Commission's RTB finding, or November 7, 2012. 11 CFR § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty, and must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. 11 CFR § 111.36(c).

The FEC will only consider challenges that are based on at least one of three grounds: (1) a factual error in the RTB finding; (2) miscalculation of the calculated civil money penalty by the FEC; or (3) your demonstrated use of best efforts to file in a timely manner when prevented from doing so by reasonably unforeseen circumstances that were beyond your control. 11 CFR § 111.35(b). In order for a challenge to be considered on the basis of best efforts, you must have filed the required report no later than 24 hours after the end of these reasonably unforeseen circumstances. *Id.* Examples of circumstances that will be considered reasonably unforeseen and beyond your control include, but are not limited to, (1) a failure of Commission computers or Commission-provided software despite your seeking technical assistance from Commission personnel and resources; (2) a widespread disruption of information transmissions over the Internet that is not caused by a failure of the Commission's or your computer systems or Internet service provider; and (3) severe weather or other disaster-related incident. 11 CFR § 111.35(c). Examples of circumstances that will not be considered reasonably unforeseen and beyond your control include, but are not limited to, (1) negligence; (2) delays caused by vendors or contractors; (3) treasurer and staff illness, inexperience or unavailability; (4) committee computer, software, or Internet service provider failures; (5) failure to know filing dates; and (6) failure to use filing software properly. 11 CFR § 111.35(d).

The "failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver" of your right to present such argument in a petition to the U.S. district court under 2 U.S.C. § 437g. 11 CFR § 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

2. If You Choose Not to Pay the Civil Money Penalty and Not to Submit a Challenge

If you do not pay the calculated civil money penalty and do not submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that Tim D'Amunzio for Congress and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty.

12092681634

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701 *et seq.* The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 CFR § 111.51(a)(2).

3. If You Choose to Pay the Civil Money Penalty

If you should decide to pay the calculated civil money penalty, send the enclosed remittance form, along with your payment, to the FEC at the address on page 4. Upon receipt of your payment, the FEC will send you a final determination letter.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 2 U.S.C. § 437g(a)(2). It will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and 437g(a)(12)(A) until it is placed on the public record in accordance with 11 CFR § 111.42, unless you notify the FEC in writing that you wish the matter to be made public.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at <http://www.fec.gov/af/af.shtml>. If you have questions regarding the payment of the calculated civil money penalty, please contact David Garr in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,



Caroline C. Hunter
Chair

12092681635

ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 CFR § 111.44, the amount of your civil money penalty calculated at RTB is \$10,110 for the 2012 Primary Election 48-Hour Notification Report.

Please mail this remittance with a check or money order made payable to the Federal Election Commission to the following address:

Federal Election Commission
P.O. Box 979058
St. Louis, MO 63197-9000

If you choose to send your remittance and payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox
FEC #979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

The remittance and your payment are due by November 7, 2012. Upon receipt of your remittance and payment, the FEC will send you a final determination letter.

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Tim D'Annunzio for Congress

FEC ID#: C00515213

AF#: 2566

PAYMENT DUE DATE: November 7, 2012

PAYMENT AMOUNT DUE: \$10,110

12092681636

Contributions for Which a 48-Hour Notice Was Not Received

AF: 2566

Committee ID: C00515213

Committee Name: Tim D'Annunzio for Congress

Report Type: July Quarterly Report (04/19/2012 – 06/30/2012)

48-Hour Reporting Period: 04/19/2012 – 05/05/2012

CONTRIBUTOR NAME	DATE	AMOUNT
D'ANNUNZIO, TIM BRUCE	4/24/2012	\$100,000.00
	TOTAL	\$100,000.00

Proposed Civil Money Penalty: \$10,110.00 ((1 Notice Not Filed at \$110 each) + (10% of the Overall Contributions Not Filed))



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

2012 NOV 15 PM 2:05

November 14, 2012

SENSITIVE

MEMORANDUM

TO: The Commission

THROUGH: Alec Palmer *for PCO*
Staff Director

FROM: Patricia C. Orrock *PCO*
Chief Compliance Officer

Debbie Chacona *DC*
Assistant Staff Director
Reports Analysis Division

BY: *W*odi Winship/David Garr *DG*
Compliance Branch

SUBJECT: Administrative Fine Program – Final Determination Recommendation for
Failure to File 48-Hour Notices

Attached is a list identifying a political committee and its treasurer against which the Commission has found reason to believe (RTB) and assessed proposed a civil money penalty calculated at RTB for failure to file or failure to timely file the appropriate 48 Hour Notice for the 2012 North Carolina Primary Election. The committee has paid the civil money penalty requested at RTB.

In accordance with 11 CFR § 111.34, the Commission shall send a final determination notice to the respondent that has paid the civil money penalty.

RAD Recommendation

- (1) Make final determination that the political committee and its treasurer listed on the attached report violated 2 U.S.C. § 434(a) and assess the final civil money penalty so indicated.
- (2) Send the appropriate letter.

12092681638

12092681639

Federal Election Commission
Final Determination Circulation Report
48-Hour Notification Report

11/15/2012 1:54 PM

AF#	Committee ID	Committee Name	State	Election	Candidate Name	Treasurer	Prev Violations	Notices Not Filed	LOA	RTB Date	RTB Penalty	FD Penalty	Date Paid	Amount Paid
2566	C00515213	TIM D'ANNUNZIO FOR CONGRESS	NC	2012	D'ANNUNZIO, TIMOTHY BRUCE	D'ANNUNZIO, TIM BRUCE	0	1	\$100,000	09/28/2012	\$10,110	\$10,110	10/09/2012	\$10,110

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Administrative Fine Program - Final) AF 2566
Determination Recommendation for)
Failure to File 48-Hour Notices)

CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election Commission, do hereby certify that on November 16, 2012, the Commission decided by a vote of 6-0 to take the following actions in AF 2566:

1. Make a final determination that Tim D'Annunzio for Congress and Tim Bruce D'Annunzio, Treasurer violated 2 U.S.C. § 434(a) and assess the final civil money in the amount of \$10,110.00
2. Send the appropriate letter.

Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Attest:

November 19, 2012
Date

Shawn Woodhead Werth
Shawn Woodhead Werth
Secretary and Clerk of the Commission

12092681640



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 19, 2012

Tim Bruce D'Annunzio, in official capacity as Treasurer
Tim D'Annunzio for Congress
925 Doc Brown Road
Raeford, NC 28376

C00515213
AF#: 2566

Dear Mr. D'Annunzio:

On September 28, 2012, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Tim D'Annunzio for Congress and its treasurer violated 2 U.S.C. § 434(a) for failing to file 48-Hour Notices for contributions of \$1,000 or more, received on April 24, 2012, totaling \$100,000. By letter dated October 1, 2012, the Commission sent notification of the RTB finding that included a civil money penalty calculated at the RTB stage of \$10,110 in accordance with the schedule of penalties at 11 C.F.R. § 111.44.

On October 9, 2012, the FEC received payment of the civil money penalty calculated at the RTB stage. The FEC made a final determination on November 16, 2012 that you, in your official capacity as treasurer, and Tim D'Annunzio for Congress violated 2 U.S.C. § 434(a), assessed a civil money penalty in the amount of \$10,110 in accordance with 11 C.F.R. § 111.44 and voted to close the file.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. The file will be made a part of the public record pursuant to 11 C.F.R. § 111.42(b). Although the file must be placed on the public record within thirty (30) days from the date of the Commission's notification, this could occur at anytime following certification of the Commission's vote.

If you have any questions regarding this matter, please contact David Garr on our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130.

On behalf of the Commission,

Carole C. Hunter

Caroline C. Hunter
Chair

12092681641

FOR: Tim D'Annunzio for Congress

FEC ID#: C00515213

AF#: 2566


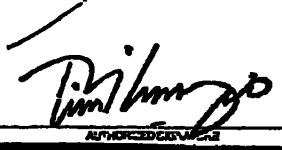
PAYMENT DUE DATE: November 7, 2012

PAYMENT AMOUNT DUE: \$10,110

QUALITY IS OUR PRIORITY FOR LOCKBOX 9058
SEQ# 002 \$ 0001011000 BA# 1 10-09-12 20 4



us bancorp.
The Sun Bank Company

		TIM D'ANNUNZIO FOR CONGRESS P.O. Box 489 Rochester, NC 28376	BBST BRANCH BANKING AND TRUST COMPANY 1-800-BANK BBST BBST.com 88-112831	5086
			10/5/2012	
PAY TO THE ORDER OF	Federal Elections Commission			\$ 10,110.00
Ten Thousand One Hundred Ten and 00/100				DOLLARS
Federal Elections Commission P.O. Box 979068 St. Louis, MO 63197-9000				
MEMO	Civil Money Penalty			



FEDERAL ELECTION COMMISSION
Washington DC 20463

THIS IS THE END OF ADMINISTRATIVE FINE CASE # 2566

DATE SCANNED 12-21-12

SCANNER NO. 2

SCAN OPERATOR CMPH

12092681643